



# Electronic Information Disclosure Statement

## Composition And Method For A Dual-Function Soil-Grouting Excavating Or Boring Fluid

Application:



09/880409

Confirmation: 8567

Applicant(s): K. Gifford Goodhue

Docket

11084.0015CN

Number:

Group Art

Unit:

Examiner:

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TC 1700

search string:

( 1815876 or 1827238 or 2025948 or 2053562 or 2081541 or 2146693 or 2165823 or 2165824 or 2239647 or 2265609 or 2437387 or 3040820 or 4076628 or Re29716 or 4282928 or 4500436 or 4857242 or 1137759 or 2561561 or 3259263 or 2718516 or 2798053 or 2812161 or 3652497 or 3657175 or 3726342 or 3794608 or 3826771 or 3878151 or 3894980 or 3915921 or 4075411 or 4138381 or 4167502 or 4268641 or 4293427 or 4338239 or 4374738 or 4374739 or 4375533 or 4384096 or 4421902 or 4473190 or 4506062 or 4509949 or 4514552 or 4526937 or 4554018 or 4554298 or 4554307 ).pn.

■ That each item of information contained in the information disclosure statement was cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

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### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
PT	P01	1815876	1931-07-21		Michael Muller		

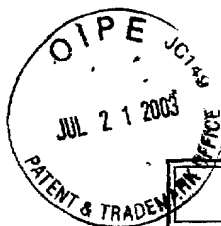
P02	1827238	1931-10-13		Hugo Joosten		
P03	2025948	1935-12-31		L. R. Jorgensen	61	36
P04	2053562	1936-09-08		Lars R. Jorgensen		
P05	2081541	1937-05-25		Hugo Joosten	61	36
P06	2146693	1939-02-07		William V. Vietti et al.	255	1
P07	2165823	1939-07-11		William V. Vietti et al.	255	1
P08	2165824	1939-07-11		William V. Vietti et al.	255	1
P09	2239647	1941-04-22		A. D. Garrison	255	1
P10	2265609	1940-06-25		James G. Vail, et al.	255	1
P11	2437387	1948-03-09		Ronald M. Hodgson	61	36
P12	3040820	1962-06-26		Julius P. Gallus	175	66
P13	4076628	1978-02-28		Richard L. Clampitt	252	8.5 C
P14	Re29716	1978-08-01		Richard L. Clampitt et al.	175	65
P15	4282928	1981-08-11		Charles J. McDonald, et al.	166	274
P16	4500436	1985-02-19		Avtar S. Pabley	252	8.5 A
P17	4857242	1989-08-15		Thomas Hoffman	264	1.4
P18	1137759	1915-05-04		C. M. Johnson		
P19	2561561	1951-07-24		J. F. Cella	220	97
P20	3259263	1966-07-05		Tohchung Wei	220	4
P21	2718516	1955-09-20		Newman M. Bortnick	260	86.1
P22	2798053	1957-07-02		Harold P. Brown	260	2.2
P23	2812161	1957-11-05		Eldon J. Mayhew	255	1.8
P24	3652497	1972-03-28		Thomas B. Junas, et al.	260	47
P25	3657175	1972-04-18		Carl A. Zimmerman	260	29.6 T
P26	3726342	1973-04-10		John S. Rhudy et	166	275

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P27	3794608	1974-02-26			Evani Syamalarao, et al.	260	29.6 RW
P28	3826771	1974-07-30			Donald R. Anderson et al.	260	29.6 H
P29	3878151	1975-04-15			Karl Dachs, et al.	260	29.6 T
P30	3894980	1975-07-15			Gabriel L. DeTommaso	260	29.6 RW
P31	3915921	1975-10-28			Robert K. Schlatzer, Jr.	260	17.4 SG
P32	4075411	1978-02-21			Jack Dickstein	560	224
P33	4138381	1979-02-06			David C. Chang, et al.	260	29.6 TZ
P34	4167502	1979-09-11			Sheldon N. Lewis, et al.	260	29.6 H
P35	4268641	1981-05-19			Harvey S. Koenig, et al.	525	367
P36	4293427	1981-10-06			James M. Lucas et al.	252	8.5 C
P37	4338239	1982-07-06			Laurence G. Dammann	524	549
P38	4374738	1983-02-22			Jack R. Kelley	252	8.5 C
P39	4374739	1983-02-22			Homer C. McLaughlin et al.	252	8.55 R
P40	4375533	1983-03-01			Hung S. Park	526	193
P41	4384096	1983-05-17			Lawrence F. Sonnabend	526	313
P42	4421902	1983-12-20			Ching-Jen Chang, et al.	526	66
P43	4473190	1984-09-25			John P. Gagliardo	239	456
P44	4506062	1985-03-19			Peter Flesher, et al.	526	211
P45	4509949	1985-04-09			Chor Huang, et al.	586	558
P46	4514552	1985-04-30			Gregory D. Shay, et al.	526	301
P47	4526937	1985-07-02			Chin C. Hsu	524	724
P48	4554018	1985-11-19			Adrian S. Allen	106	20

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## Signature

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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

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Title of Invention	Composition and Method for a Dual-Function Soil-Grouting Excavating or Boring Fluid																																																																																																
<p>Application Number: 09/880409</p> <p>Confirmation Number: 8567</p> <p>First Named Applicant: Kenneth GOODHUE</p> <p>Attorney Docket Number: GOOD015CP2</p> <p>Art Unit: 1712</p> <p>Search string: ( 6248697 or 5072791 or 2131338 or 4009755 or 6465587 or 6590050 or 5663123 or 5407909 or 4988450 or 4984933 or 4696698 or 4687790 or 4596838 or 4470463 or 4600761 or 4616074 or 4656205 or 4660645 or 4669920 or 4670501 or 4677152 or 4683949 or 4702844 or 4722397 or 4724906 or 4741790 or 4743698 or 4744418 or 4745154 or 4777200 or 4816551 or 4835206 or 4844168 or 4892916 or 4898611 or 4911736 or 4946605 or 4980434 or 4981398 or 5006596 or 5032295 or 5077021 or 4476190 ).pn.</p> <p><b>US Patent Documents</b></p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td>PT</td><td>1</td><td>6248697</td><td>2001-06-19</td><td>Goodhue Jr. et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>2</td><td>5072791</td><td>1991-12-17</td><td>Whitebay</td><td></td><td></td><td></td></tr><tr><td></td><td>3</td><td>2131338</td><td>1938-09-27</td><td>Vail</td><td></td><td></td><td></td></tr><tr><td></td><td>4</td><td>4009755</td><td>1977-03-01</td><td>Sandiford</td><td></td><td></td><td></td></tr><tr><td></td><td>5</td><td>6465587</td><td>2002-10-15</td><td>Bair et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>6</td><td>6590050</td><td>2003-01-08</td><td>Bair et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>7</td><td>5663123</td><td>1997-10-02</td><td>Goodhue Jr. et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>8</td><td>5407909</td><td>1995-04-02</td><td>Goodhue Jr. et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>9</td><td>4988450</td><td>1991-01-29</td><td>Wingrave et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>10</td><td>4984933</td><td>1991-01-15</td><td>Annett et al.</td><td></td><td></td><td></td></tr><tr><td>PT</td><td>11</td><td>4696698</td><td>1987-10-29</td><td>Harriett</td><td></td><td></td><td></td></tr></tbody></table>		init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	PT	1	6248697	2001-06-19	Goodhue Jr. et al.					2	5072791	1991-12-17	Whitebay					3	2131338	1938-09-27	Vail					4	4009755	1977-03-01	Sandiford					5	6465587	2002-10-15	Bair et al.					6	6590050	2003-01-08	Bair et al.					7	5663123	1997-10-02	Goodhue Jr. et al.					8	5407909	1995-04-02	Goodhue Jr. et al.					9	4988450	1991-01-29	Wingrave et al.					10	4984933	1991-01-15	Annett et al.				PT	11	4696698	1987-10-29	Harriett			
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PT	11	4696698	1987-10-29	Harriett																																																																																													



PT	12	4687790	1987-08-18	Andreichuk
	13	4596838	1986-06-24	Andreichuk
	14	4470463	1984-09-11	Holland
	15	4600761	1986-07-15	Ruffner et al.
	16	4616074	1986-10-07	Ruffner
	17	4656205	1987-04-07	Walker et al.
	18	4660645	1987-04-28	Newlove et al.
	19	4669920	1987-06-02	Dymond
	20	4670501	1987-06-02	Dymond
	21	4677152	1987-06-30	Allen et al.
	22	4683949	1987-08-04	Sydansk et al.
	23	4702844	1987-10-27	Flesher et al.
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	25	4724906	1988-02-16	Sydansk
	26	4741790	1988-05-03	Hawe et al.
	27	4743698	1988-05-10	Reffner et al.
	28	4744418	1988-05-17	Sydansk
	29	4745154	1988-05-17	Ruffner
	30	4777200	1988-10-11	Dymond et al.
	31	4816551	1989-03-28	Oehler
	32	4835206	1989-05-30	Farrar et al.
	33	4844168	1989-07-04	Sydansk
	34	4892916	1990-01-09	Hawe et al.
	35	4898611	1990-02-06	Gross
	36	4911736	1990-03-27	Huang
	37	4946605	1990-08-07	Farrar et al.
	38	4980434	1990-12-25	Farrar et al.
	39	4981398	1991-01-01	Field et al.
	40	5006596	1991-04-09	Chen et al.
	41	5032295	1991-07-16	Matx et al.
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PT	43	4476190	1984-10-09	Clarke et al.

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List of Patents and Publications for Applicant's

Applicant

K. Gifford Goodhue Jr., et al.

## INFORMATION DISCLOSURE STATEMENT

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Filing Date:

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Other Art

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PT	B1	1 191 292	07/30/85	CA			
	B2	2 088 344	10/11/93	CA			
	B3	1 332 502	10/18/94	CA			
	B4	160 427 A2	06/11/85	EP			
	B5	165 004 A2	12/18/85	EP			
	B6	194 857 A2	09/17/86	EP			
	B7	200 062 A3	11/05/86	EP			
	B8	273 210 A2	07/06/88	EP			
	B9	634 468 A1	01/18/95	EP			
	B10	2 647 463	11/30/90	FR			
	B11	1 517 422	07/12/76	GB			
	B12	2 221 904	02/21/90	GB			
	B13	2 221 940	02/21/90	GB			
PT	B14	2 277 759	11/09/94	GB			

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
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Exam. Init.	Ref. Des.	Citation
PT	C1	Bol, G.M., <u>The Effect of Various Polymers &amp; Salts on Borehole &amp; Cutting Stability in Water-Based Shale Drilling Fluids</u> . Koninklijke/Shell E&P Laboratorium, IADC/SPE Conference 1986; IADC/SPE 14802.
	C2	Bruce, D.A. et al., <u>Structural Underpinning by Pinpiles</u> .
	C3	Carnicom, W.M., <u>A Systems Approach for the Solution of Mud Problems</u> , NL Baroid/NL Industries, Inc., 1982.
	C4	Cernak, B., <u>The Time Effect Suspension of the Behavior of Piers</u> , Institute of Civil Engineering, Bratislava, CSSR.
	C5	Chesser, B.G., <u>Design Considerations for an Inhibitive &amp; Stable Water-Based Mud System</u> , Milpark, Houston, Texas, IADC/SPE Conference 1986, IADC/SPE 14757.
	C6	Cooke, R.W., <u>Load Transfer from Bored, Cast-In-Situ Piles in London Clay</u> , 1979.
	C7	Crapps, D., <u>Design Construction, and Inspection of Drilled Shafts in Limerock and Limestone</u> , Prepared for 35th Annual Geotechnical Conference, University of Kansas, March 7, 1986.
	C8	Day, P.W. et al., <u>Skin Friction of Underslurry Piles</u> .
PT	C9	Eide, O. et al., <u>Special Application of Cast-in-Place Walls for Tunnels in Soft Clay in Oslo</u> , Norwegian Geotechnical Institute, Bonde & Co., Oslo, 1972.

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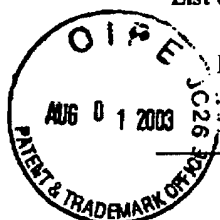
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PT	C10	Fearenside, G.R. et al., <u>The Skin Friction of Bored Piles Formed in Clay Under Bentonite</u> , Construction Industry Research and Information Association, London.
	C11	Felio, G.Y. et al., <u>A New Rod Shear Device for the Measurement of the Degradation of Soil-Pile Interfaces</u> , University of California at Los Angeles, Presented at 21st Annual OTC in Houston, Texas, May 14, 1989.
	C12	Fisk, J. & Perez, J., <u>Filtration Studies to Determine Filter Cake Compressibilities for Sun Oil's EZ-Mud Fluid</u> , NL Baroid/NL Petroleum Services, Inc., Fluids Research & Development Technical and Analytical Services/Support; EMB-4305; January 29, 1988.
	C13	Fisk, J.V. et al., <u>Physical Properties of Drilling Fluids at High Temperatures and Pressures</u> , Baroid Drilling Fluids, SPE Drilling Engineering, Dec. 1989.
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	C15	Gale, R.S., <u>Filtration Theory with Special References to Sewage Sludges</u> , 1967.
	C16	Garrison, A.D. et al., <u>Dispersion of Clay and Shales by Fluid Motion</u> , Galveston Meeting, 1939.
	C17	Gray, G.R., <u>Drilling with Mud; Simple Tests Save Time and Money</u> , Baroid Division, NL Industries, Inc.
	C18	Gray, G.R., <u>Plan the Mud Program to Reduce Exploration Cost</u> , Mining Industry, Baroid Division, National Lead Co., Houston, Texas.
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	C20	Guild, G.J., <u>Operating PHPA/NaCl Systems</u> , Amoco Production Company, January 1990.
	C21	Hager, R., <u>Cast-In-Drilled-Hole-Piles in Adverse Soil Conditions</u> , State of California, Business and Transportation Agency, Department of Public Works, and Division of Highways Bridge Department.
	C22	Hagimoto, H. et al., <u>D.K. Shield Method</u> , Daho Construction Co. Ltd., Tokyo, Japan, 1990.
PT	C23	Holcombe, R.F. et al., <u>Subsidence of the Houston/Galveston Area</u> , McClelland Engineers, Spring, 1980.

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	C25	Hooks, J. M. et al., <u>The Design &amp; Construction of Diaphragm Walls in Western Europe 1979</u> , Supplement to 1980 World Survey of Current Research & Development on Roads & Road Transport, Dec. 1990.
	C26	Inoue, T. et al., <u>An Investigation of Shear Strength of Slurry Clay</u> , Japanese Society of Soil Mechanics and Foundation Engineering, Dec. 1990.
	C27	Janes, M. et al., <u>Pile Load Test Results Using the New Statnamic Method</u> , Berminghammer Corporation, McMaster University.
	C28	Janes, M., <u>Statnamic Load Test Results</u> , Comparative Pile Foundation Load Test Program, Texas A&M University, 1991.
	C29	Johnston, I.W., <u>New Developments in the Prediction of Side Resistance of Piles in Soft Rock</u> , Monash University, Melbourne.
	C30	Johnson, I.W. et al., <u>Side Resistance of Piles in Weak Rock</u> , Monash University, Melbourne, Victoria, Australia, 1992.
	C31	Kadaster, A.G. et al., <u>Field Application of PHPA Muds</u> , Amoco Production Co., Amoco Norway, Society of Petroleum Engineers Conference 1989, SPE 119531.
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	C33	Kulhawy, F.H., <u>Drilled Shaft Foundations</u> , Cornell Univ., Ithaca, New York, 1989.
	C34	Lambe, T.W., <u>The Structure of Compacted Clay</u> , Soil Mechanics and Foundations Division, May 1958.
	C35	Leyendecker, E.A., <u>Drilling Fluids as Used with Drilled Shaft Construction</u> , Dresser Industries, Presented at the State Department of Highways and Public Transportation, Austin, Texas, 1984.
P7	C36	Liao, A., <u>Evaluation of Polymer-Bentonite Soil Admixtures as Hydraulic Barriers for Oilfield Waste Pits and Non-Oilfield Applications</u> , NL Baroid/NL Industries, Inc., 1988.

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GOOD:015---

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List of Patents and Publications for Applicant's

Applicant

K. Gifford Goodhue Jr., et al.

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:

June 13, 2001

Group:

U.S. Patent Documents

N/A

Foreign Patent Documents

N/A

Other Art

See Page 1 - 6



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